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PATENTS, TRADEMARKS &amp; COPYRIGHTS

J1017 U.S. PTO  
10/053176  
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10/053176  
01/18/02

ATTORNEY DOCKET: 14240

## ASSISTANT COMMISSIONER OF PATENTS

WASHINGTON, D.C. 20231

EXPRESS MAIL NO: EL881625575US

Sir:

Transmitted herewith for filing is the patent application of  
Inventor/Owner: MCKINLEY, Kevin L.

For: FLUID FILTRATION DEVICE

Enclosed are:

2 sheet(s) of informal drawings.  
 An assignment of the invention to  
 A certified copy of a application.  
 Inventor claims small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.  
 Declaration/Statement and Power of Attorney.  
 Specification and Claims.  
 copies of prior art references listed on the PTO 1449 Form.

The filing fee has been calculated as shown below:

FOR:	(Col. 1) No. Filed	(Col. 2) Extra	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
			Rate	Fee	Rate	Fee
<b>BASIC FEE</b>				\$ 370.00		\$
<b>TOTAL CLAIMS</b>	<b>-20*</b>		<b>x 9=</b>	<b>\$</b>	<b>x18=</b>	<b>\$</b>
<b>INDEP CLAIMS</b>	<b>- 3*</b>		<b>x39=</b>	<b>\$</b>	<b>x78=</b>	<b>\$</b>
<b>[ ] MULTIPLE DEP. CLAIM PRESENTED</b>			<b>x130=</b>	<b>\$</b>	<b>x260=</b>	<b>\$</b>
			<u>Assignment</u>		\$	

\*If the difference in Col 1.  
is less than zero, enter "0"      TOTAL      \$ 370.00      TOTAL      \$  
in Col 2.

Please charge my Deposit Account No. 02-2273 in the amount of \$.  
A duplicate copy of this sheet is enclosed.

PTO Forms PTO-2038 (dup.) and PTO/SB/17 (dup.).

The Commissioner is hereby authorized to charge any additional required fees associated with this communication or any fees arising during the pending of this application, or credit any overpayment to Account No. 02-2273. A duplicate copy of this sheet is enclosed.

**EXCEPT** the issue fee set forth in 37 CFR 1.18 **only** upon the return of the executed Issue Fee Transmittal Form PTOL 85b, under 37 CFR 311(a) to the office.

Non-Publication Request (37 C.F.R. §1.213)

Post Card.

Date: 15 Jan 2002

THEODORE J. BIELEN, JR.  
Reg. No. 27,420

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 370.00)

## Complete if Known

Application Number	
Filing Date	15 January 02
First Named Inventor	MCKINLEY
Examiner Name	
Group Art Unit	
Attorney Docket No.	14240

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	370.
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00)

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20**	=	
			-3**	=	
				=	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105 130	205	65	Surcharge - late filing fee or oath	
127 50	227	25	Surcharge - late provisional filing fee or cover sheet	
139 130	139	130	Non-English specification	
147 2,520	147	2,520	For filing a request for ex parte reexamination	
112 920*	112	920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115 110	215	55	Extension for reply within first month	
116 390	216	195	Extension for reply within second month	
117 890	217	445	Extension for reply within third month	
118 1,390	218	695	Extension for reply within fourth month	
128 1,890	228	945	Extension for reply within fifth month	
119 310	219	155	Notice of Appeal	
120 310	220	155	Filing a brief in support of an appeal	
121 270	221	135	Request for oral hearing	
138 1,510	138	1,510	Petition to institute a public use proceeding	
140 110	240	55	Petition to revive - unavoidable	
141 1,240	241	620	Petition to revive - unintentional	
142 1,240	242	620	Utility issue fee (or reissue)	
143 440	243	220	Design issue fee	
144 600	244	300	Plant issue fee	
122 130	122	130	Petitions to the Commissioner	
123 50	123	50	Processing fee under 37 CFR 1.17(q)	
126 180	126	180	Submission of Information Disclosure Stmt	
581 40	581	40	Recording each patent assignment per property (times number of properties)	
146 710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279	355	Request for Continued Examination (RCE)	
169 900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____				

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	THEODORE J. BIELEN, JR.	Registration No. (Attorney/Agent)	27,420	Telephone	(925) 937-1515
Signature	15 Jan 02				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

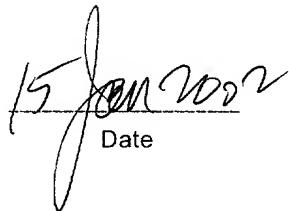
Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231

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<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	<u>MCKINLEY, K. L.</u>
	Title	<u>Fluid Filtration Device</u>
	Atty Docket Number	<u>14240</u>

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

  
15 Jan 2002

Date

  
\_\_\_\_\_  
Signature

THEODORE J. BIELEN, JR.

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

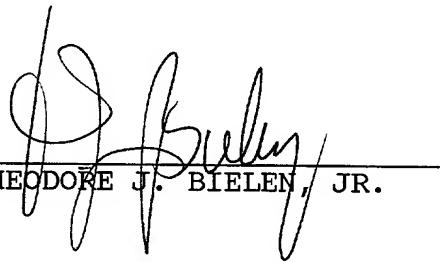
Atty Dkt: 14240

**CERTIFICATE OF MAILING BY**  
**EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as "**EXPRESS MAIL**" in an envelope addressed to **BOX PATENT APPLICATION, U.S. PATENT AND TRADEMARK OFFICE, P.O. Box 2327, Arlington, VA 22202** on **January 15, 2002**. Express Mail No. **EL881625575US**.

By:

THEODORE J. BIELEN, JR.

A handwritten signature in black ink, appearing to read "T J Bielek".Handwritten initials "T J B" in black ink, with a small "18" written above the "T".

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